

## **METHOD FOR DATA RETENTION IN A DATA CACHE AND DATA STORAGE SYSTEM**

### **Field of the Invention**

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This invention relates to data storage systems. In particular, this invention relates to a method and system for data retention in a data cache.

### **Background of the Invention**

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In existing, well-known write caching systems, data is transferred from a host into a cache on a storage controller. The data is retained temporarily in the cache until it is subsequently written ("destaged") to a disk drive or RAID array.

15     In order to select the region of data to destage next, the controller firmware uses an LRU (Least Recently Used) algorithm. The use of an LRU algorithm increases the probability of the following advantageous events happening to the data in the cache.

20     1.     Data in the cache may be overwritten with updated data before being destaged, so that write operations from the host result in only one destage operation to the disk, thereby reducing disk utilisation.

25     2.     Data in the cache may be combined with logically-adjacent data (coalesced) to form a complete stride for destaging to a RAID 5 array, thereby avoiding the read-modify-write penalty typically encountered when writing to a RAID 5 array.

3.     An attempt by the host to read data which it has recently written may be serviced from the cache without the overhead of retrieving the required data from the disk. This improves the read response time.

Data in the cache must be protected against loss during unplanned events (e.g. resets or power outages). This is typically achieved by including battery backed memory or UPS (uninterruptible power supply) to allow the data to be retained during such events.

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However, the provision of such backup power is difficult and expensive so a design decision is often taken such that the controller may not have sufficient power available to retain the contents of all of its cache memory. Consequently, the controller has areas of cache memory which cannot be used for write caching (since the data stored therein would be vulnerable to loss).

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Such areas of the cache may, however, be used as a read cache (since this data does not need to be written to the storage device). Such a read cache would be used independently of the write cache.

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It is an aim of the present invention to provide a data cache in which the write and read areas of the cache are not separated and the same area of memory can function as either write cache or read cache. Cached read data and cached write data are handled in the same contiguous areas of memory.

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When a write is received from the host and data is transferred into the cache, it is then known as "dirty" data. Sometime later it is destaged to the disk but may be retained in the cache. It is then known as "clean" data.

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If a read command is received from the host for the region of memory corresponding to the cached data then the read command may be satisfied from the clean data in the cache, or a combination of contiguous clean and dirty spans of data.

The clean data in the cache needs to be discarded at some point to allow higher-priority clean data to be retained. The problem is selecting the next clean data entry to discard. This process is known as purging.

## 5 Disclosure of the Invention

According to a first aspect of the present invention there is provided a method for data retention in a data cache, comprising: referencing dirty data stored in a cache in a first least recently used list; and referencing clean data in the cache in a second least recently used list; wherein dirty data is destaged from the cache when it reaches the tail of the first least recently used list and clean data is purged from the cache when it reaches the tail of the second least recently used list.

10 Dirty data which is destaged to a data storage means may have a copy of the data retained in the cache as clean data which is deleted from the first list and added to the second list.

15 A read command which is a cache miss may fetch data from a data storage means and the data may be retained in the cache with a reference in the second list.

20 The method may include keeping a flag with each data reference in the first list indicating whether or not the data has been read whilst on the first list. If the data was read when referenced in the first list, the data may be added to the head of the second list when the data is destaged. If the data was not read when referenced in the first list, the data may be either maintained in its position in the second list or discarded.

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The flag may include a timestamp each time the data is read and the timestamp may be used to prioritise the position of the data reference in the second list.

Data may be partly dirty and partly clean and may be referenced in both the first and second lists.

According to a second aspect of the present invention there is provided a data storage system comprising: a storage controller including a cache; a data storage means; and the cache has a first least recently used list for referencing dirty data which is stored in the cache, and a second least recently used list for referencing clean data; wherein dirty data is destaged from the cache when it reaches the tail of the first least recently used list and clean data is purged from the cache when it reaches the tail of the second least recently used list.

Dirty data which is destaged to a data storage means may have a copy of the data retained in the cache as clean data which is deleted from the first list and added to the second list.

15 A read command which is a cache miss may fetch data from the data storage means and the data may be retained in the cache with a reference in the second list.

A flag may be provided with each data reference in the first list indicating whether or not the data has been read whilst on the first list. If the data was read when referenced in the 20 first list, the data may be added to the head of the second list when the data is destaged. If the data was not read when referenced in the first list, the data may be either maintained in its position in the second list or discarded.

The flag may include a timestamp each time the data is read and the timestamp may be 25 used to prioritise the position of the data reference in the second list.

Data may be partly dirty and partly clean and may be referenced in both the first and second lists.

According to a third aspect of the present invention there is provided a computer program product stored on a computer readable storage medium, comprising computer readable program code means for retaining data in a data cache by performing the steps of:  
5 referencing dirty data stored in a cache in a first least recently used list; and referencing clean data in the cache in a second least recently used list; wherein dirty data is destaged from the cache when it reaches the tail of the first least recently used list and clean data is purged from the cache when it reaches the tail of the second least recently used list.

#### Brief Description of the Drawings

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An embodiment of the present invention will now be described, by means of an example only, with reference to the accompanying drawings, in which:

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Figure 1 is a block diagram of a data storage system in accordance with the present invention;

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Figure 2 is a block diagram of part of the data storage system of Figure 1; and

Figure 3 is a flow diagram of a method in accordance with the present invention.

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#### Description of the Preferred Embodiments

Referring to Figure 1, a data storage system 100 is shown. The data storage system 100 of the figure is a simple system with a single host computer 101. Multiple host computers may be provided sharing common storage means.

A storage controller 102 controls the storage in a data storage means 106 which may be any storage medium including a disk drive or an array of disk drives 106, for example, a RAID array of disk drives could be used. The storage controller 102 has a cache 103 in

which data is temporarily retained until it is subsequently destaged to the data storage means 106.

5 Data regions are stored in the cache 103. The storage controller 102 uses an algorithm to determine which region of data in the cache 103 to destage next.

The algorithm uses two lists 104, 105 both of which are LRU (Least Recently Used) lists. The lists contain entries referencing the data regions stored in the cache 103. The entries are data region descriptors.

10 A data region is an arbitrary unit of data which may be referred to as a track. In an example implementation, a track is 64k bytes. A data descriptor on the LRW or LRR lists 104, 105 represents a track and each track is represented on each list 104, 105 exactly 0 or 1 time. A track may have subsets referred to as pages. In an example 15 implementation, a page is 4k bytes giving 16 pages in a track. Each of the pages in a track may be dirty, clean or absent. In practice, there may also be subsets of pages.

The first list 104 is for dirty data which is data that has been received from the host 101. The first list 104 is referred to as the LRW (Least Recently Written) list. The second list 20 105 is for clean data which is data which has been destaged to the data storage means 106 and a copy is retained in the cache 103. The second list 105 is referred to as the LRR (Least Recently Read) list.

Referring to Figure 2, a detail of Figure 1 is provided showing the cache 103 with the 25 LRW list 104 and the LRR list 105. A data region in the cache 103 will always be on at least one list 104, 105 and may be on both lists.

When the dirty data is initially stored 200 in the cache 103, a corresponding entry 201 is created for it on the dirty LRW list 104. When the data is destaged and marked clean, it is deleted from the LRW list 104 and added 202 to the LRR list 105.

- 5    Additionally, a data region may be partly dirty and partly clean. As described above, a data region in the form of a track may have some dirty pages and some clean pages. In this case the track would be on both lists 104, 105, since it must be possible to find it both when searching for a destage candidate and when searching for a purge candidate. Individual pages can be destaged or purged, rather than doing this at track level.

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There is also another route onto the LRR list 105. In a general read/write cache 103, there are read commands from the host 101 which are cache misses. In this case, data is fetched from the data storage means 106 and may be retained in the cache 103 to satisfy further read commands from the host 101. A corresponding entry 203 is made for the

15    data on the LRR list 105.

This is particularly beneficial in an environment where the storage controller 102 may be accessed from multiple hosts, since multiple hosts often utilise some regions of the disks for storing shared data and consequently multiple hosts may read the same disk region  
20    frequently.

There is a problem of how to assign suitable priority to data which was dirty but has been destaged so is now marked as clean. This data region needs to be deleted from the LRW list and, potentially, added to the LRR list, if it is not there already. This data was created  
25    in cache some while ago so to add it to the "recent" end of the LRR list would be giving it excessive priority. Conversely, to add it to the "stale" end of the LRR list would unduly depress its priority and would be useless - it would be the next candidate for purging so, in a busy system, would be immediately discarded. Adding it to the middle of the LRR

list would be arbitrary. This would also be potentially difficult as the middle point of the LRR list is not tracked.

In order to overcome this problem a flag is kept with each data region descriptor in the  
5 lists 104, 105, indicating whether or not the data region was ever read while it contained  
dirty data.

If a data region was read while dirty, then it is likely that another host will also read the  
10 same data region in the near future. Therefore, the data region is added to the head of the  
LRR list 105, if it is not already in the LRR list 105.

If the data region was not read while dirty then it is less likely that it will be read in the  
near future so it is not moved on the LRR list 105. If the data region is already in the  
15 LRR list 105 then its position in the list is unchanged. If the data region is not in the  
LRR list 105 then the data region is discarded.

A further enhancement to the use of the has been "read" flag is to timestamp the region of  
cached data each time it is read. Using this approach, if a data region was read a long  
time ago it can be treated as having lower read-retention priority so the decision can be  
20 made not to add it to the LRR list 105.

Referring to Figure 3, a flow diagram shows a method of referencing data regions in the  
lists 104, 105. A data write is first received 301 in the cache. A data descriptor for the  
data is input 302 at the head of the LRW list as dirty data. A flag is kept 303 with the  
25 data descriptor indicating if the data is read. The data descriptor moves down the LRW  
list and, when it reaches 304 the tail of the LRW list, it is destaged to data storage means.

It is then determined 305 if the data descriptor is already in the LRR list. If it is already  
in the LRR list, the data descriptor is left 306 where it is in the LRR list.

If the data descriptor is not already in the LRR list, it is then determined 307 if the data has been read whilst it was dirty. If the data has been read whilst dirty, the data descriptor is sent 308 to the head of the LRR list. If the data has not been read whilst dirty, the data is discarded.

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The following is a detailed description of the described method. The following should be noted.

10 Virtual Track (VT) is the jargon used for a data region in the cache, which contains some dirty data, some clean data or both.

Cache directory (CD) is the jargon used for the overall directory of cache elements.

15 To be considered for a read or write hit, or for destaging or purging, a VT must be in the CD.

Two queues are maintained:

20 LRW queue of VTs with ANY pages containing some dirty data.  
LRR queue of VTs with ANY pages containing no dirty data.

General Rules:

25 VTs get added/moved to the head of the LRW queue whenever they are populated with one or more dirty sectors.

VTs get added/moved to the head of the LRR queue whenever they are read and contain a clean page.

VTs which get read have their "read" flag set.

When a VT which is not already on the LRR queue is destaged and marked clean, it is

- 5 added to the head of the LRR queue if the "read" flag is set. Otherwise it is deleted.

Rules in detail:

Dirty VT inserted into CD:

- 10 The VT is added to the head of the LRW queue.

Clean VT inserted into CD:

The VT is added to the head of the LRR queue.

- 15 Dirty data merged into VT in LRW queue:

The VT is moved to the head of the LRW queue.

Dirty data merged into VT in LRR queue:

The VT is added to the head of the LRW queue.

- 20 VT remains in LRR queue if it retains any clean pages.

Dirty data merged into VT in both queues:

The VT is moved to the head of the LRW queue.

VT remains in LRR queue if it retains any clean pages.

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Clean data merged into VT in LRW queue:

VT is left at current location in LRW queue.

VT is added to the head of the LRR queue if it now contains any clean pages.

"Read" flag is set.

Clean data merged into VT in LRR queue:

VT is moved to the head of the LRR queue.

5 Clean data merged into VT in both queues:

VT is left at current location in LRW queue.

VT is moved to the head of the LRR queue.

"Read" flag is cleared.

10 Last clean page purged from VT at end of LRR queue:

VT is deleted from end of LRR queue.

Dirty span removed by invalidation:

If VT no longer contains any dirty data it is deleted from LRW queue.

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Clean span removed by invalidation:

If VT no longer contains any clean pages it is deleted from LRR queue.

Mixed span removed by invalidation:

20 If VT no longer contains any clean pages it is deleted from LRR queue.

If VT no longer contains any dirty data it is deleted from LRW queue.

The above method has the advantage that it identifies data to be preserved in the cache and data which need not be preserved and can be destaged to a data storage means.

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Only dirty regions of the cache are protected against power failure. At runtime a table of the dirty pages is maintained so that, if power fails, the pages which need to be backed up can be identified.

The described method particularly improves write performance for RAID 5 storage arrays by permitting data coalescing into full-stride writes.

5 The described technology could be used in disk drives, disk controllers/adapters and file servers.

Modifications and improvements may be made to the foregoing without departing from the scope of the present invention.